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PATENT 2131
Attorney Docket No.: 019417-000120US

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Assistant Commissioner for Patents
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TOWNSEND and TOWNSEND and CREW LLP

By:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brown et al.

Application No.: 10/006,929

Filed: December 6, 2001

For: STORAGE VIRTUALIZATION
SYSTEM AND METHODS

Examiner: Unassigned

Art Unit: 2131

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

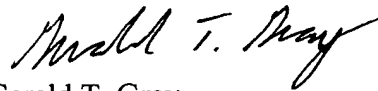
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Gerald T. Gray
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2**Complete if Known**

Application Number	10/006,929
Filing Date	December 6, 2001
First Named Inventor	William P. Brown
Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	019417-000120US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	Pub. No.: US-2001/0049740 A1	12-06-2001	Karpoff	
	AB	US-5,657,468	08-12-1997	Stallmo et al.	
	AC	US-5,875,456	02-23-1999	Stallmo et al.	
	AD	US-6,052,759	04-18-2000	Stallmo et al.	
	AE	US-6,148,414	11-14-2000	Brown et al.	
	AF	US-5,611,049	03/11/1997	Pitts, W.M.	
	AG	US-6,085,234	07/04/2000	Pitts, W.M.	
	AH	US-6,405,219 B2	06/11/2002	Saether et al.	
	AI	Pub. No. US-2001/0037387	11/01/2001	Glide et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AJ	WO	02/08899	A2	01-31-2002	Bopardiker et al.		
	AK	WO	02/13033	A1	02-14-2002	Singhal et al.		

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SignatureDate
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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WC 9042311 v1

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2**Complete if Known**

Application Number	10/006,929
Filing Date	December 6, 2002
First Named Inventor	William P. Brown
Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	019417-000120US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AL	"Storage Virtualization", Storage Virtualization Brief, <u>VERITAS Software Corporation</u> , pp. 1-19 (April 2001).	
	AM	"VERITAS SANPoint Foundation Suite HA Sharing Data in Storage Area Networks," <u>VERITAS Software Corporation</u> , pp. 1-8 (Jan. 2001).	
	AN	Sosinsky, B., "The Business Value of Virtual Volume Management", <u>VERITAS Software Corporation</u> , pp. 1-12 (Oct. 2001).	
	AO	Winett, B., "Silicon Storage Appliances <i>Implementation Advantage</i> , <u>DataDirect Networks, Inc.</u> , pp. 1-13 (June 2001, Rev. Nov. 30, 2001).	
	AP	Woolery, R., "DataDirect Networks' Silicon Storage Appliance Enabling Storage Networks," <u>DataDirect Networks, Inc.</u> , pp. 1-10.	

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Considered

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